

**Texas Comptroller of Public Accounts
Internal Audit Division**



Fiscal 2017 Annual Audit Plan

*Approved by the Honorable Glenn Hegar, Texas Comptroller
September 15, 2016*

Comptroller of Public Accounts - Internal Audit Division
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Project Title	Division	Area	Project Hours
Fiscal 2017 Audits			
Audit of Revenue Processing	Revenue Administration	Revenue Processing	1,700
Audit of IT General Controls – Phase II	Agency-Wide	All IT related areas	1,830
Audit of Statewide Contracting	Statewide Procurement Statewide Support Services	Statewide Contract Management Statewide Contract Development Statewide Systems Support State Mail & Program Development	1,630
Audit of Warrant Processing	Fiscal Management	Statewide Fiscal Services	1,350
Audit of Expenditure Assistance Claims and Fees Processing	Fiscal Management	Statewide Fiscal Services	1,550
Audit of Revenue Accounting Voucher Processing	Revenue Administration	Revenue Accounting	1,410
Audit of IT Contracting	Information Technology	Planning and Architecture	940
Total Fiscal 2017 Audit Hours:			10,410
Fiscal 2016 In Progress Audits			
Audit of Software Asset Management	Information Technology	Planning and Architecture	930
Audit of Economic Growth & Endangered Species Management	Economic Growth & Endangered Species Management	Economic Growth & Endangered Species Management	700
Audit of IT General Controls – Phase I	Information Security	Information Security	80
Audit of Direct Deposit	Fiscal Management	Statewide Fiscal Services	80
Total Fiscal 2016 In Progress Audit Hours			1,790
Special Projects/Management Requests			
Follow Ups			450
Client Assist (Internal/External)			70
FY 2016 Annual Internal Audit Report			75
FY 2018 Risk Assessment			1290
FY 2017 Audit Plan Monitoring			140
TTSTC W/P Review - Client Assist			225
TeamMate Maintenance			200
Internal Audit Website/SharePoint Maintenance			100
TeamMate Template Revision			110
Quality Assurance Reporting			20
IT Steering Committee			0
Unclaimed Property System Review			450
External Audit Coordination			0
Special Investigations			150

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Peer Review of Internal Audit	50
Internal Audit Handbook Review	40
Legislative Analysis	0
<u>Special Projects/Management Requests Carry forward:</u>	
FY 2017 Risk Assessment	10
Other Requests/Unallocated Hours	1,128
Total Special Projects/Management Requests:	4,508

Summary of Hours	
Total Fiscal 201,76 Audit Hours:	10,410
Total Fiscal 201,65 In Progress Audit Hours:	1,790
Total Special Projects/Management Requests:	4,508
Direct Audit Hours:	16,708
Indirect Hours:	8,348
Total Hours	25,056